

HELIOS INDIA ALTERNATE FUND
(SEBI REGN IN/AIF3/19-20/0773)

ANNUAL STATUS OF COMPLIANCE UNDER STEWARDSHIP CODE
PURSUANT TO SEBI CIRCULAR CIR/CFD/CMD1/168/2019 DATED 24TH
DECEMBER 2019 FOR THE PERIOD JULY 1, 2022 TO JUNE 30, 2023

Sr.No	Particulars of Principles of Stewardship Code	Status (Deviation, Partly Complied, Not Complied)	Reason/Justification for Deviation or Non-compliance
1	Company should formulate the policy on the discharge of their stewardship responsibilities and publicly disclose it.	Complied	NA
2	Company should have a clear policy on how they manage conflicts of interest in fulfilling their stewardship responsibilities and publicly disclose it.	Complied	NA
3	Company should monitor their investee companies.	Complied	NA
4	Company should have a clear policy on intervention in their investee companies	Complied	NA
5	Company should have a clear policy for collaboration with other institutional investors, where required to preserve the interests of the unitholders (ultimate investors), which should be disclosed.	Complied	NA
6	Company should have a clear policy on voting and disclosure of voting activity.	Complied	NA