

## Complaint Data - - Helios Capital Asset Management (India) Private Limited (Portfolio Manager)

| Sr.<br>No. | Received<br>from           | Pending<br>at the end<br>of last<br>month | Received | Resolved* | Total<br>Pending# | Pending<br>complaints<br>> 3months | Average<br>Resolution<br>time^<br>(in days) |
|------------|----------------------------|---|----------|-----------|-------------------|------------------------------------|---|
| 1          | Directly from<br>Investors | 0   | 0        | 0         | 0                 | 0                                  | 0   |
| 2          | SEBI<br>(SCORES)           | 0   | 0        | 0         | 0                 | 0                                  | 0   |
| 3          | Other Sources<br>(if any)  | 0   | 0        | 0         | 0                 | 0                                  | 0   |
|            | Grand Total                | 0   | 0        | 0         | 0                 | 0                                  | 0   |

## PMS Complaints Data for the month ending November 2023

\*Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

## Trend of monthly disposal of complaints

| Sr.<br>No. | Month          | Carried forward from previous<br>month | Received | Resolved* | Pending# |
|------------|----------------|--|----------|-----------|----------|
| 1          | Apr-23         | 0                                      | 0        | 0         | 0        |
| 2          | May-23         | 0                                      | 0        | 0         | 0        |
| 3          | Jun-23         | 0                                      | 0        | 0         | 0        |
| 4          | Jul-23         | 0                                      | 0        | 0         | 0        |
| 5          | Aug-23         | 0                                      | 0        | 0         | 0        |
| 6          | Sep-23         | 0                                      | 0        | 0         | 0        |
| 7          | Oct-23         | 0                                      | 0        | 0         | 0        |
| 8          | Nov-23         | 0                                      | 0        | 0         | 0        |
|            | Grand<br>Total | 0                                      | 0        | 0         | 0        |

\*Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.



| Sr.<br>No. | Year           | Carried forward from previous year | Received | Resolved** | Pending## |
|------------|----------------|------------------------------------|----------|------------|-----------|
| 1          | 2023-24        | 0                                  | 0        | 0          | 0         |
| 2          | 2022-23        | 0                                  | 0        | 0          | 0         |
| 3          | 2021-22        | 0                                  | 0        | 0          | 0         |
|            | Grand<br>Total | 0                                  | 0        | 0          | 0         |

## Trend of annual disposal of complaints

\*\* Inclusive of complaints of previous years resolved in the current year.

## Inclusive of complaints pending as on the last day of the year.